



HIPAA Assessment

HIPAA Policy and Procedures Verification Worksheet



CONFIDENTIALITY NOTE: The information contained in this report is for the exclusive use of the client specified above and may contain confidential, privileged, and non-disclosable information. If you are not the client or addressee, you are strictly prohibited from reading, photocopying, distributing, or otherwise using this report or its contents in any way.

Scan Date: 13-Dec-2018

Prepared for:
HIPAA – Covered Entity
Prepared by:
YourIT Company

14-Dec-2018

Table of Contents

- 1 - Policy and Procedures
 - 1.1 - HIPAA Policy and Procedures
 - 1.2 - Sanction Policy
 - 1.3 - Access Policy
 - 1.4 - Security Incident Response and Reporting Plan
 - 1.5 - Disaster Recovery Plan
 - 1.6 - Emergency Mode Operations Plan
 - 1.7 - Contingency Plan
 - 1.8 - Application and Data Criticality Analysis
 - 1.9 - Evaluation
 - 1.10 - Business Associates Agreement
 - 1.11 - Facility Security Plan
 - 1.12 - Access Control and Validation Procedure
 - 1.13 - Facility Access Control Maintenance Records
 - 1.14 - Workstation Use Policy
 - 1.15 - Workstation Security
 - 1.16 - Media Disposal Policy
 - 1.17 - Media Re-use Procedure
 - 1.18 - Media Accountability Procedure
 - 1.19 - Data Backup and Storage Procedure
 - 1.20 - Emergency Access Procedure
 - 1.21 - Integrity of Data Procedure
 - 1.22 - Integrity Control Procedure
 - 1.23 - ePHI Transmission Encryption Procedure

Policy and Procedures

1.1 - HIPAA Policy and Procedures

Has your organization implemented the HIPAA Policy and Procedures included with Audit Guru? If you have implemented the included policies in conjunction with your own, answer 'yes'.

Yes

1.2 - Sanction Policy

Has your organization shared a written Sanction Policy with your workforce members, as required by the HIPAA Security Rule? Please attach your signed and approved policy.

No

1.3 - Access Policy

Does your organization have a written procedure to establish access and modify access? Please attach.

No

1.4 - Security Incident Response and Reporting Plan

Does your organization have a written Security Incident Response and Reporting Plan? Please attach.

No

1.5 - Disaster Recovery Plan

Does your organization have a written Disaster Recovery Plan? Please attach.

No

1.6 - Emergency Mode Operations Plan

Does your organization have a written Emergency Mode Operation Plan? Please attach.

No

1.7 - Contingency Plan

Does your organization have a written Contingency Plan? Please attach.

No

1.8 - Application and Data Criticality Analysis

Do you have a written application and data criticality analysis? Please attach.

No

1.9 - Evaluation

Have you implemented ongoing monitoring and planning to evaluate if your security plans and procedures continue to adequately protect ePHI? Upload evidence of your review process.

No

1.10 - Business Associates Agreement

Does your organization have a standard Business Associates contract that meets the requirements of the 2013 HIPAA Omnibus Final Rule? Please attach a copy of your current BAA.

No

1.11 - Facility Security Plan

Does your organization have a written Facility Security Plan? Please attach.

No

1.12 - Access Control and Validation Procedure

Does your organization have a written Access Control and Validation procedure? Please attach.

No

1.13 - Facility Access Control Maintenance Records

Does your organization have a written procedure for maintaining Facility Access Controls maintenance records? Please attach.

No

1.14 - Workstation Use Policy

Does your organization have a written Workstation Use policy? Please attach.

No

1.15 - Workstation Security

Does your organization have a written procedure for maintaining Workstation Security? Please attach.

No

1.16 - Media Disposal Policy

Does your organization have a written Media Disposal Policy? Please attach.

No

1.17 - Media Re-use Procedure

Does your organization have written procedure for Media Re-use? Please attach.

No

1.18 - Media Accountability Procedure

Does your organization have a written procedure for Media Accountability? Please attach.

No

1.19 - Data Backup and Storage Procedure

Does your organization have a written procedure to protect Data Backup and Storage (during transfer)? Please attach.

No

1.20 - Emergency Access Procedure

Does your organization have a written Emergency Access procedure? Please attach.

No

1.21 - Integrity of Data Procedure

Does your organization have a written procedure to protect the Integrity of data Against Improper Alteration or Destruction? Please attach.

No

1.22 - Integrity Control Procedure

22. Does your organization have a written procedure for Integrity Control used during transmission of ePHI? Please attach.

No

1.23 - ePHI Transmission Encryption Procedure

Does your organization have written procedures to protect and encrypt ePHI during transmission? Please attach.

No