



HIPAA Assessment

Security Exception Worksheet



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Scan Date: 12/13/2018

Prepared for:
HIPAA - Covered Entity
Prepared by:
YourIT Company

12/17/2018

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- 2.11 - Corp.MyCo.com\JDAVIS accessing Corp.MyCo.com\MWEST-WIN864
- 2.12 - Corp.MyCo.com\kjacobs accessing Corp.MyCo.com\MWEST-WIN864
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- 2.14 - Corp.MyCo.com\mparish accessing Corp.MyCo.com\MWEST-WIN864
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- 2.16 - Corp.MyCo.com\mSUMMER accessing Corp.MyCo.com\MWEST-WIN864
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- 2.18 - Corp.MyCo.com\pSIMPSON accessing Corp.MyCo.com\MWEST-WIN864
- 2.19 - Corp.MyCo.com\rphillis accessing Corp.MyCo.com\MWEST-WIN864
- 2.20 - Corp.MyCo.com\rphillis accessing Corp.MyCo.com\MWEST-WIN864



- 2.21 - Corp.MyCo.com\rphillis accessing Corp.MyCo.com\MWEST-WIN864
- 2.22 - Corp.MyCo.com\rphillis accessing Corp.MyCo.com\MWEST-WIN864
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 - 5.3 - Corp.MyCo.com\BKRICKEY
 - 5.4 - Corp.MyCo.com\fthomas
 - 5.5 - Corp.MyCo.com\HJoel
 - 5.6 - Corp.MyCo.com\JDAVIS
 - 5.7 - Corp.MyCo.com\kglass
 - 5.8 - Corp.MyCo.com\kjacobs
 - 5.9 - Corp.MyCo.com\kmayhem
 - 5.10 - Corp.MyCo.com\kmayhem1
 - 5.11 - Corp.MyCo.com\marcusustest
 - 5.12 - Corp.MyCo.com\mDAVIS
 - 5.13 - Corp.MyCo.com\mELKINS
 - 5.14 - Corp.MyCo.com\mmayhemON
 - 5.15 - Corp.MyCo.com\mparish
 - 5.16 - Corp.MyCo.com\mSUMMER
 - 5.17 - Corp.MyCo.com\netvondor
 - 5.18 - Corp.MyCo.com\Pkrickey
 - 5.19 - Corp.MyCo.com\pSIMPSON
 - 5.20 - Corp.MyCo.com\rjohnson
 - 5.21 - Corp.MyCo.com\rphillis
 - 5.22 - Corp.MyCo.com\rtaylor



- 5.23 - Corp.MyCo.com\slowe
- 5.24 - Corp.MyCo.com\smurray
- 5.25 - Corp.MyCo.com\sRammond
- 5.26 - Corp.MyCo.com\support
- 5.27 - Corp.MyCo.com\tholmes
- 5.28 - Corp.MyCo.com\thughes
- 5.29 - Corp.MyCo.com\wparson

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 - 12.1 - \PABUILD
 - 12.2 - \PABUILD
 - 12.3 - Corp.MyCo.com\DC01
 - 12.4 - Corp.MyCo.com\DC01
 - 12.5 - Corp.MyCo.com\DC02
 - 12.6 - Corp.MyCo.com\DC02
 - 12.7 - Corp.MyCo.com\DC03
 - 12.8 - Corp.MyCo.com\DC03
 - 12.9 - Corp.MyCo.com\DEV_2012-CORE
 - 12.10 - Corp.MyCo.com\DEV_2012-CORE
 - 12.11 - Corp.MyCo.com\DEVTFS
 - 12.12 - Corp.MyCo.com\DEVTFS
 - 12.13 - Corp.MyCo.com\DEVWIKI
 - 12.14 - Corp.MyCo.com\DEVWIKI
 - 12.15 - Corp.MyCo.com\FILE2012-1



- 12.16 - Corp.MyCo.com\FILE2012-1
- 12.17 - Corp.MyCo.com\HV01
- 12.18 - Corp.MyCo.com\HV01
- 12.19 - Corp.MyCo.com\HV02
- 12.20 - Corp.MyCo.com\HV02
- 12.21 - Corp.MyCo.com\HV03
- 12.22 - Corp.MyCo.com\HV03
- 12.23 - Corp.MyCo.com\HV04
- 12.24 - Corp.MyCo.com\HV04
- 12.25 - Corp.MyCo.com\HV05
- 12.26 - Corp.MyCo.com\HV05
- 12.27 - Corp.MyCo.com\JAGA
- 12.28 - Corp.MyCo.com\JAGA
- 12.29 - Corp.MyCo.com\JIM-WIN7
- 12.30 - Corp.MyCo.com\JIM-WIN7
- 12.31 - Corp.MyCo.com\MARKETING-1
- 12.32 - Corp.MyCo.com\MmayhemON1
- 12.33 - Corp.MyCo.com\MmayhemON1
- 12.34 - Corp.MyCo.com\MYCO-ATL-CORE
- 12.35 - Corp.MyCo.com\MYCO-ATL-CORE
- 12.36 - Corp.MyCo.com\MYCOROOTAUTH
- 12.37 - Corp.MyCo.com\MYCOROOTAUTH
- 12.38 - Corp.MyCo.com\PSIMPSON1
- 12.39 - Corp.MyCo.com\PSIMPSON1
- 12.40 - Corp.MyCo.com\PSIMPSON-WIN764
- 12.41 - Corp.MyCo.com\PSIMPSON-WIN764
- 12.42 - Corp.MyCo.com\PSIMPSON-WIN7TEST
- 12.43 - Corp.MyCo.com\SE-DAVIS
- 12.44 - Corp.MyCo.com\SE-DAVIS
- 12.45 - Corp.MyCo.com\SHAREPOINT-01
- 12.46 - Corp.MyCo.com\SHAREPOINT-01
- 12.47 - Corp.MyCo.com\slowe-win7.corp.MyCo.com
- 12.48 - Corp.MyCo.com\SQL2012-01
- 12.49 - Corp.MyCo.com\SQL2012-01



12.50 - Corp.MyCo.com\TANDEM

12.51 - Corp.MyCo.com\TANDEM

12.52 - Corp.MyCo.com\UTIL01

12.53 - Corp.MyCo.com\UTIL01

12.54 - Corp.MyCo.com\UTIL12

12.55 - Corp.MyCo.com\UTIL12

12.56 - WORKGROUP\INSP-TEST2

12.57 - WORKGROUP\INSP-TEST2

13 - External Vulnerability Scan - 208.32.211.104

13.1 - NVT: Apache Tomcat Denial Of Service Vulnerability -June15 (Linux) (OID: 1.3.6.1.4.1.25623.1.0.805704)

13.2 - NVT: Apache Tomcat Multiple Vulnerabilities-01 (Nov14) (OID: 1.3.6.1.4.1.25623.1.0.805018)

13.3 - NVT: Apache Tomcat SecurityManager Security Bypass Vulnerability -June15 (Linux) (OID: 1.3.6.1.4.1.25623.1.0.805701)

13.4 - NVT: Apache Tomcat sort and orderBy Parameters Cross Site Scripting Vulnerabilities (OID: 1.3.6.1.4.1.25623.1.0.103032)

13.5 - NVT: Check for SSL Weak Ciphers (OID: 1.3.6.1.4.1.25623.1.0.103440)

13.6 - NVT: Deprecated SSLv2 and SSLv3 Protocol Detection (OID: 1.3.6.1.4.1.25623.1.0.111012)

13.7 - NVT: POODLE SSLv3 Protocol CBC ciphers Information Disclosure Vulnerability (OID: 1.3.6.1.4.1.25623.1.0.802087)

13.8 - NVT: Apache Tomcat XML External Entity Information Disclosure Vulnerability (OID: 1.3.6.1.4.1.25623.1.0.805019)

13.9 - NVT: OpenSSL RSA Temporary Key Handling EXPORT_RSA Downgrade Issue (FREAK) (OID: 1.3.6.1.4.1.25623.1.0.805142)

13.10 - NVT: OpenSSL TLS DHE_EXPORT LogJam Man in the Middle Security Bypass Vulnerability (OID: 1.3.6.1.4.1.25623.1.0.805188)

14 - Miscellaneous

14.1 - Use of Free Hosted Email

Potential Generic Accounts

Corp.MyCo.com\Administrator

Enter reason the Generic account is not a security risk.

<p>Response This is not generic</p>	<p>Responded By</p>
<p>Additional Notes</p>	

Corp.MyCo.com\DEV\$

Enter reason the Generic account is not a security risk.

<p>Response Verified System Account</p>	<p>Responded By</p>
<p>Additional Notes John Smith</p>	

Corp.MyCo.com\hr

Enter reason the Generic account is not a security risk.

<p>Response Verified System Account</p>	<p>Responded By</p>
<p>Additional Notes John Smith</p>	

Corp.MyCo.com\info

Enter reason the Generic account is not a security risk.

<p>Response Verified System Account</p>	<p>Responded By</p>
<p>Additional Notes John Smith</p>	

Corp.MyCo.com\netvendor

Enter reason the Generic account is not a security risk.



Response Verified System Account	Responded By
Additional Notes John Smith	

Corp.MyCo.com\partners

Enter reason the Generic account is not a security risk.

Response Verified System Account	Responded By
Additional Notes John Smith	

Corp.MyCo.com\prsales

Enter reason the Generic account is not a security risk.

Response Verified System Account	Responded By
Additional Notes John Smith	

Corp.MyCo.com\support

Enter reason the Generic account is not a security risk.

Response Verified System Account	Responded By
Additional Notes John Smith	

Corp.MyCo.com\SUPPORT\$

Enter reason the Generic account is not a security risk.

Response This is not generic	Responded By
Additional Notes	



Corp.MyCo.com\wparson

Enter reason the Generic account is not a security risk.

Response

This account is used by a sub-contractor and turned off and on as needed.

Responded By**Additional Notes**

John Smith



User Accounts with Passwords Set to Never Expire

Corp.MyCo.com\BKRICKEY

This account has password set to never expire. Enter reason the user account is not a security risk.

Response Verified System Account	Responded By
Additional Notes John Smith	

Wireless

Insecure Wireless - xfinitywifi

Enter reason insecure wireless access through this SSID does not pose a security risk. Indicate if your staff is properly trained not to connect to this SSID.

<p>Response Non-Company Wireless. Employees trained not to connect.</p>	<p>Responded By</p>
<p>Additional Notes John Smith</p>	

Insecure Wireless - xfinitywifi

Enter reason insecure wireless access through this SSID does not pose a security risk. Indicate if your staff is properly trained not to connect to this SSID.

<p>Response Non-Company Wireless. Employees trained not to connect.</p>	<p>Responded By</p>
<p>Additional Notes John Smith</p>	